



# Caltrans Internal Audits Office (CIAO)

March 8<sup>th</sup>, 2023



On July 1<sup>st</sup>, 2022, Caltrans established an Internal Audits Office in the Administration Program: CIAO.



The Independent Office of Audits and Investigations (IOAI) has transferred over some of their audit responsibilities to CIAO.



CIAO will provide services designed to add value & improve Caltrans' operations.

# Our Background



## Services We Provide:

- Single Audit Reviews
- Submission Reviews (Indirect Cost Rate)

# Single Audits

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CIAO is responsible for identifying all Caltrans pass-through agencies and non-profits that received over \$750,000 in federal funds, beginning with the fiscal year 2020-2021.

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CIAO uses data analytics to combine both Federal Audit Clearinghouse's and Caltrans' information into a single report.

# Single Audits



Agencies can visit CIAO's public website to determine if Caltrans requires a Single Audit/Exemption.



The report is separated by fiscal year.

**Fiscal Year Ending 2022:**

[\[FY 2022 Link\]](#)

**Fiscal Year Ending 2021:**

[\[FY 2021 Link\]](#)

# Submission Reviews (Agency Indirect Cost Rates)

- ▶ As of July 1, 2022, Caltrans Internal Audits Office is responsible for reviewing all Caltrans pass-through agencies requesting Indirect Cost Rates starting with fiscal year 2019/2020.
- ▶ CIAO uses data analytics so the review rates are updated daily.



Caltrans Internal Audits Office

**CIAO**

*Building Brighter Ideas*

**Mission:** Our people-centric team provides improved solutions to prevent waste, assess risks, and safeguard assets.

**Vision:** To be the most efficient, innovative, and resourceful internal auditing office in the State of California.



CIAO's goal is to  
conduct all complete  
submission review  
applications within  
an average of  
**30 business days.**

# Submission Reviews (Agency Indirect Cost Rates)

- ▶ CIAO's application process is located on our [public website](#).

## The Submission Review process includes:

A video walk through of the submission process

- [video link](#)

The application form to review the rate

- [application link](#)

A link to select a PDF form for review

- [pre-calculated form link](#)



# Submission Reviews (Agency Indirect Cost Rates)

- ▶ Agencies can visit our website to check their status, or access prior issued rates:
  - ▶ **Look up the issued rates:** [Link](#)
  - ▶ **Track on-going submission reviews:** [Link](#)



# Contact Information

- **Mailing Address:**

*Department of Transportation,  
Administration, MS 80*

*1120 N. Street, Sacramento, CA 95814*

- **CIAO Email:** [Audits@dot.ca.gov](mailto:Audits@dot.ca.gov)

- **Public Website:** [Link](#)

- **Direct Contacts:**

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